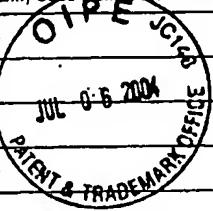


<b>Notice of References Cited</b>		Application/Control No. 09/197,278	Applicant(s)/Patent Under Reexamination BROWN ET AL.	
		Examiner Vy Q. Bui	Art Unit 3731	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US- 	JUL 8 6 2004		
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
✓	N	DE 29708879U1	09-1997	Germany		A61F 2/04
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages		
	U			
	V			
	W			
	X		10/27/05	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

FORM PTO-1449 (Modified) <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> <small>(Use several sheets if necessary)</small>			ATTY DOCKET NO.: S63.2-6769		APPLICATION NO.: 09/197,278		
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: November 20, 1998		GROUP: 3731		
REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAMINER'S INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
<i>BC</i>	AA	6,068,656	5/30/00	Von Oepen		623/1.17	
<i>BC</i>	AB	6,129,755	10/10/00	Mathis et al.		623/1.15	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY			
	BA						
	BB						
	BC						
	BD						
	BE						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	CA		SMART <sup>TM</sup> Steril Brochure, Cordis, a Johnson & Johnson company, date unknown.				
	CB						
	CC						
EXAMINER	<i>BC</i>		DATE CONSIDERED		<i>11/27/06</i>		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO.: S632-6769		SERIAL NO.: 09/197,278		
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: November 20, 2000		GROUP: 3731		
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER'S INIT.	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Up	AA 5,836,966	11/17/98	St. Germain		606	198	
VP	AB 5,938,697	8/17/99	Killion et al.		623	1	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
RELATED APPLICATIONS							
	APPLICATION NO.	FILING DATE	INVENTOR(S)		RELATED BY		
					SUBJECT	PRIORITY (\$120)	
BA							
BB							
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION
							YES
CA							NO
CB							
CC							
CD							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
DA							
DB							
DC							
EXAMINER	<i>Cyr</i>	DATE CONSIDERED	<i>11/28/05</i>				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

<i>Notice of References Cited</i>			Application No. 09/197,278	Applicant(s) <b>Brown et al.</b>		
			Examiner <b>Lien Ngo</b>	Group Art Unit <b>3731</b>	Page 1 of 1	
<b>U.S. PATENT DOCUMENTS</b>						
	DOCUMENT NO.	DATE	NAME		CLASS	SUBCLASS
A	<i>Up</i> 5,800,521	9/1998	Orth		623	1
B	<i>Up</i> 6,017,365	9/1998	Von Oepen		623	1
C						
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<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
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S						
T						
<b>NON-PATENT DOCUMENTS</b>						
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE
U						
V						
W						
X	<i>Coz</i> <i>15/07/06</i>					

FORM PTO-1449 (Modified)  
 LIST OF PATENTS AND PUBLICATIONS  
 FOR APPLICANT'S  
 INFORMATION DISCLOSURE STATEMENT  
 (Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6769

SERIAL NO.: 09/197,278

APPLICANT: Brian J. Brown et al.

FILING DATE: November 20, 1998

GROUP: 3731

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						
AE						
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AG						
AH						
AI						
AJ						
AK						
AL						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
BA	96/26689	9/6/96	WO				
BB	98/20810	5/22/98	WO				
BC	297 16 476 U1	2/5/98	DE				
BD	0 876 806 A1	11/11/98	EP				
BE							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA		
CB		
CC		

EXAMINER

DATE CONSIDERED

11/27/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 1 of 1

FORM PTO-1449 (Modified) <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> <small>(Use several sheets if necessary)</small>			ATTY. DOCKET NO.: S63.2-6769		SERIAL NO.: 09/197,278	
			APPLICANT: Brian J. Brown et al.			
			FILING DATE: November 20, 1998		GROUP: 3738	
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,911,754	06/15/99	Kanesaka et al.	623	1	
AB	5,922,021	07/13/99	Jang	623	1	
AC	5,948,016	09/07/99	Jang	623	1	
AD	5,954,743	09/21/99	Jang	606	198	
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
BA						YES      NO
BB						
BC						
BD						
BE						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
CA						
CB						
CC						
EXAMINER	<i>[Signature]</i>		DATE CONSIDERED	<i>10/27/06</i>		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

FORM PTO-1449 (Modified) <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> <small>(Use several sheets if necessary)</small>			ATTY DOCKET NO.: S63.2-6769		SERIAL NO.: 09/197,278		
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: November 20, 1998		GROUP: 3738		
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAMINER'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
BA	298 16 878 U1	12/24/98	DE			YES	NO
						(Abstract)	
BB							
BC							
BD							
BE							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
CA							
CB							
CC							
EXAMINER	<i>CPC</i>		DATE CONSIDERED	<i>11/27/06</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-6769			Application No: 09/197,278		
			APPLICANT: Brian J. Brown et al.					
			FILING DATE: November 20, 1998			GROUP: 3738		
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME					
<i>Ug</i>	AA 5,591,197	1/7/97	Orth et al.		606	198		
<i>Ug</i>	AB 5,697,971	12/16/97	Fischelli et al.		623	1		
	AC 5,718,713	2/17/98	Franzen		606	198		
	AD 5,735,893	4/7/98	Lau et al.		623	1		
	AE 5,741,327	4/21/98	Franzen		623	1		
	AF 5,755,776	5/26/98	Al-Saadon		623	1		
	AG 5,755,781	5/26/98	Javaraman		623	1		
	AH 5,776,161	7/7/98	Globerman		606	194		
	AI 5,776,183	7/7/98	Kanesaka et al.		623	1		
<i>Ug</i>	AJ 5,807,404	9/15/98	Richter		623	1		
<i>Ug</i>	AK 5,810,872	9/22/98	Kanesaka et al.		606	198		
<i>Ug</i>	AL 5,824,059	10/20/98	Wijay		623	1		
FOREIGN PATENT DOCUMENTS								
	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<i>Ug</i>	BA 297 01 758	3/27/97	DE					
<i>Ug</i>	BB 297 02 671 U1	4/10/97	DE					
<i>Ug</i>	BC 297 08 689 U1	7/17/97	DE					
<i>Ug</i>	BD 297 08 879 U1	7/31/97	DE					
<i>Ug</i>	BE 0 821 920 A1	2/4/98	EP					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
<i>Ug</i>	CA		Beyar et al, "The BeStent; The Parallel-Serial Jang Stents", <i>Handbook of Coronary Stents, Second Edition</i> , 158-171 & 229-234 (1998).					
<i>Ug</i>	CB		Beyar et al, "Newer Stents: Materials and Designs", <i>LAGS Proceedings</i> , 9(5): 363-371 (June 1997).					
<i>Ug</i>	CC		Roguin et al, "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", <i>The American Journal of Cardiology</i> , 80:1155-1162 (November 1997).					
<i>Ug</i>	CD		Roguin et al, "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", <i>Artif Organs</i> , 22(3):243-249 (March 1998).					
EXAMINER <i>[Signature]</i>			DATE CONSIDERED <i>11/27/08</i>					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-6769		Application No: NA		
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: November 20, 1998		Group:		
REFERENCE DESIGNATION							
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION							
EXAMINER'S INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>ve</i>	BF 97/04721	2/13/97	WO				
	BG 97/14375	2/24/97	WO				
	BH 97/25937	7/24/97	WO				
	BI 97/32543	9/12/97	WO				
	BJ 97/32544	9/12/97	WO				
	BK 97/33534	9/18/97	WO				
	BL 97/40780	11/6/97	WO				
	BM 97/40781	11/6/97	WO				
	BN 97/40782	11/6/97	WO				
<i>ve</i>	BO 97/40783	11/6/97	WO				
<i>ve</i>	BP 97/40874	11/6/97	WO				
BQ							
BR							
BS							
BT							
BU							
BV							
RW							
BX							
BY							
BZ							
EXAMINER <i>ve</i>		DATE CONSIDERED	<i>10/27/00</i>				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-5605			Serial No: 08/511,076		
			APPLICANT: Brian J. Brown, Michael L. Davis					
			FILING DATE: August 3, 1995			Group: 350S		
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<i>UJ</i>	AA	4,553,545	11/19/1985	Maass et al.				
	AB	4,733,665	03/29/1988	Palmaaz				
	AC	4,739,762	04/26/1988	Palmaaz				
	AD	4,776,337	10/11/1988	Palmaaz				
	AE	4,830,003	05/16/1989	Wolff et al.				
	AF	4,856,516	08/15/1989	Hillstead				
	AG	4,886,062	12/12/1989	Wiktor				
	AH	4,913,141	04/03/1990	Hillstead				
	AI	4,994,071	02/19/1991	MacGregor				
<i>UJ</i>	AJ	5,019,090	05/28/1991	Pinchuk				
<i>UJ</i>	AK	5,035,706	07/30/1991	Gianurco et al.				
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION								
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	
<i>UJ</i>	BA	0 606 165 A1	07/13/1994	EPO			X	
	BB	0 540 290 A2	05/05/1993	EPO			X	
	BC	0 364 787 B1	04/25/1990	EPO			X	
	BD	WO 94/17754	08/18/1994	PCT			X	
<i>UJ</i>	BE	0 541 443 A1	05/12/1993	EPO			X	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
<i>UJ</i>	CA	<i>Manufacturing Processes for Engineering Materials</i> , by Scrope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.						
<i>UJ</i>	CB	<i>A View of Vascular Stents</i> , by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, <u>CIRCULATION</u> , Vol. 79, No. 2, February 1989, pp. 445-457.						
<i>UJ</i>	CC	<i>The Self-Expanding Mesh Stent</i> , by Ulrich Sigwart, SECTION IV, Chapter 29, pp. 605-610.						
EXAMINER <i>Cr</i>		DATE CONSIDERED <i>6/07/06</i>						
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

FORM I-TO-149 (Modified) <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> <small>(Use several sheets if necessary)</small>			ATTY DOCKET NO: S63.2-5005			Serial No: 08/511,076		
			APPLICANT: Brian J. Brown, Michael L. Davis					
			FILING DATE: August 3, 1995			Group: 3308		
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>WJ</i>	AA	5,059,211	10/23/1991	Stack et al.				
	AB	5,102,417	04/07/1992	Palinaz				
	AC	5,104,404	04/14/1992	Wolff				
	AD	5,133,732	07/18/1992	Wiktor				
	AE	5,135,536	08/04/1992	Hillstead				
	AF	5,195,984	03/23/1993	Schaiz				
	AG	5,197,978	03/30/1993	Hess				
	AI	5,292,823	02/01/1994	Schwartz et al.				
	AJ	5,392,331	03/08/1994	Bonneau				
	AK	5,304,200	04/19/1994	Spaulding				
	AL	5,449,373	09/13/1995	Pinchasiuk et al.				
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION YES      NO
<i>WJ</i>	AL	6-4175	03/06/1994	Japan				
	AM							
	AN							
	AO							
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
<i>WJ</i>	AR	Japanese Infringement Search on Articulated Expandable Stents, Dated July 12, 1995.						
<i>WJ</i>	AS	ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp 414-421						
<i>WJ</i>	AT	Cambridge Dictionary of Science and Technology, Cambridge University Press, p. 123						
EXAMINER <i>[Signature]</i>			DATE CONSIDERED <i>4/27/96</i>					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

FORM PTO-1449 (Modified)  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-S60S			Serial No: 08/511,076		
			APPLICANT: Brian J. Brown, Michael L. Davis					
			FILING DATE: August 3, 1995			Group: 3303		
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS					
EXAMINER INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>VJ</i>	AA	3,657,744	04/25/1972	Ersek				
	AB	4,141,364	02/27/1979	Schultze				
	AC	4,681,110	07/21/1987	Wiktor				
	AD	4,693,721	09/15/1987	Duchéyne				
	AE	4,786,507	09/06/1988	Fischell et al.				
	AF	4,769,039	09/06/1988	Patel				
	AG	4,787,899	11/29/1988	Lazarus				
	AH	4,830,003	05/16/1989	Wolff et al.				
	AI	4,856,516	08/15/1989	Hillstead				
	AJ	4,922,905	05/08/1990	Strecker				
	AK	4,950,237	08/21/1990	Savin et al.				
	AL	4,950,258	08/21/1990	Kawai et al.				
	AM	4,994,071	02/19/1991	MacGregor				
	AN	5,015,253	05/14/1991	MacGregor				
	AO	5,037,392	08/06/1991	Hillstead				
	AP	5,064,435	11/12/1991	Pomer				
	AQ	5,092,877	03/03/1992	Pinchuk				
	AR	5,104,399	04/14/1992	Lazarus				
	AS	5,108,415	04/28/1992	Pinchuk et al.				
	AT	5,108,417	04/28/1992	Sawyer				
	AU	5,132,154	06/16/1992	Rhodes				
	AV	5,139,480	08/18/1992	Hickle et al.				
	AW	5,147,385	09/15/1992	Beck et al.				
	AX	5,147,400	09/15/1992	Kaplan et al.				
<i>VJ</i>	AY	5,158,548	10/27/1992	Lau et al.				
EXAMINER			DATE CONSIDERED <i>11/07/06</i>					
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

FORM PTO-1449 (Modified)  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO: S63.2-S605		Serial No: 08/511,076		
			APPLICANT: Brian J. Brown, Michael L. Davis				
			FILING DATE: August 3, 1995		Group: 330S		
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SLICLASS	FILING DATE IF APPROPRIATE
<i>Vy</i>	AA	5,217,483	06/03/1993	Tower			
	AB	5,163,952	11/17/1992	Froix			
	AC	5,236,913	07/13/1993	Pinchuk			
	AD	5,282,824	02/01/1994	Gianurco			
	AE	5,344,425	09/06/1994	Sawyer			
	AF	5,354,308	10/11/1994	Simon et al.			
	AG	5,354,309	10/11/1994	Schnepf-Pesch et al.			
	AII	5,383,892	01/24/1995	Cardon et al.			
	AI	5,405,377	04/11/1995	Cragg			
	AJ	2,836,181	05/27/1958	J.S. Tapp			
	AK	3,509,883	05/05/1970	Dibelius			
	AL	3,526,228	09/01/1970	Lyng			
	AM	4,300,244	11/17/1981	Bokros			
	AN	4,319,363	03/16/1982	Ketharanathan			
	AO	4,441,215	04/10/1984	Kaster			
	AP	4,470,407	09/11/1984	Hussein			
	AQ	4,550,447	11/05/1985	Seiler, Jr. et al.			
	AR	4,740,207	04/26/1988	Kreamer			
	AS	4,760,849	08/02/1988	Kropf			
	AT	4,762,128	08/09/1988	Rosenbluth			
	AU	4,771,773	09/20/1988	Kropf			
	AV	4,795,458	01/03/1989	Regan			
	AW	4,820,298	04/11/1989	Leveen et al.			
	AX	4,877,030	10/31/1989	Beck et al.			
	AY	3,868,956	04/04/1975	Alfidi et al.			
EXAMINER: <i>[Signature]</i>			DATE CONSIDERED: <i>11/07/05</i>				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1440 (Modified)		ATTY DOCKET NO: S63.2-5605	Serial No: 08/511,076
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT: Brian J. Brown, Michael L. Davis	
		FILING DATE: August 3, 1995	Group: 3308

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			
EXAMINER'S INITIALS	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS
UR	4,140,126	02/20/1979	Choudhury		
	AB	4,503,569	Dolter		
	AC	4,512,338	Balko et al.		
	AD	4,553,545	Maass et al.		
	AE	4,560,374	Hammerslag		
	AF	4,637,416	Seijer, Jr. et al.		
	AG	4,649,922	Wiktor		
	AH	4,655,771	Wallsten		
	AI	4,665,918	Garza et al.		
	AJ	4,795,458	Regan		
	AK	4,800,882	Giannurco		
	AL	4,848,343	Wallsten et al.		
	AM	4,872,874	Taheri		
	AN	4,878,906	Lindemann et al.		
	AO	3,105,492	Jeckel		
	AP	3,272,204	Armandi et al.		
	AQ	3,490,975	Lightwood et al.		
	AR	3,562,820	Braun		
	AS	3,635,215	Shea et al.		
	AT	3,771,526	Rudie		
	AU	3,993,078	Bergentz et al.		
	AV	4,078,167	Banas et al.		
	AW	4,127,761	Pauley et al.		
	AX	4,130,904	Whalen		
UR	AY	4,164,045	Bokros et al.		

EXAMINER	DATE CONSIDERED	11/27/06
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

FORM PTO-1449 (Modified)  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY: DOCKET NO: S63.2-5605			Serial No: 08/511,076	
			APPLICANT: Brian J. Brown, Michael L. Davis				
			FILING DATE: August 3, 1995			Group: 3308	
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>V/B</i>	AA 4,214,587	07/29/1980	Sakura, Jr.				
	AB 4,313,231	02/02/1983	Koyamada				
	AC 4,425,908	01/17/1984	Simon				
	AD 4,501,264	02/26/1985	Rockey				
	AE 4,535,770	08/20/1985	Lemole				
	AF 4,580,568	04/08/1986	Giannurco				
	AG 4,597,389	07/01/1986	Ibrahim et al.				
	AH 4,655,776	04/07/1987	Lesinski				
	AI 4,795,458	01/03/1989	Regan				
	AJ 4,795,465	01/03/1989	Manen				
	AK 4,842,575	06/27/1989	Hoffman, Jr. et al.				
<i>V/B</i>	AL 4,851,009	07/25/1989	Pinchuk				
AM							
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AO							
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AY							
EXAMINER: <i>See 11/07/06</i>	DATE CONSIDERED: <i>11/27/06</i>						
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO: S63.2-5605	Serial No: 08/511,076
		APPLICANT: Brian J. Brown, Michael L. Davis	
		FILING DATE: August 3, 1995	Group: 3308
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
<i>b</i>	CA	<i>Improved Dilatation Catheter Balloons</i> , by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296.	
	CB	<i>Self-expanding Stainless Steel Biliary Stents</i> , By Harold G. Coons, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 3, Part 2, pp. 979-983.	
	CC	Technical Note Entitled <i>Modifications of Gianturco Expandable Wire Stents</i> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187.	
	CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents™.	
	CE	<i>Expandable Biliary Endoprosthesis: An Experimental Study</i> , By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1282.	
	CF	<i>Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial</i> , By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326.	
<i>b</i>	CG	<i>Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications</i> , Work In Progress, By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312.	
<i>b</i>	CH	Brochure Entitled <i>AVE MICRO STENT</i> ™, Instructions for Use, By Applied Fascular Engineering, Inc., pp. 1-15.	
<i>b</i>	CI	Brochure Entitled <i>MICRO STENT</i> ™, By Applied Vascular Engineering, Inc.	
	CJ		
	CK		
	CL		
	CM		
	CN		
	CO		
	CP		
	CQ		
EXAMINER	<i>[Signature]</i>		DATE CONSIDERED <i>4/27/06</i>
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

# Electronic Information Disclosure Statement

## IMPROVED LONGITUDINALLY FLEXIBLE EXPANDABLE STENT

**\*09/197278\***

Application:

09/197278

Confirmation:

1094

Applicant(s):

Brian Brown

Docket Number:

S63.2-6769

Group Art Unit:

3731

Examiner:

Vy Q. Bui

search string:

( 5609627 or 5716393 or 6231598 ).pn.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

Init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
Y	P01	5609627	1997-03-11	*5609627*	Coicoechea et al.	128	898
Y	P02	5716393	1998-02-10	*5716393*	Lindenberg et al.	623	1
Y	P03	6231598	2001-05-15	*6231598*	Berry et al.	623	1.15

### Remarks

(Remarks are not for responding to an office action.)

This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. section 1.97 (b) or otherwise because to the knowledge of the undersigned attorney it is being filed before the mailing date of a first Office Action after the filing of a request for continued examination (RCE) under section 1.114. Nevertheless, if any fee is due with the submission of this IDS, please charge

the additional fee to deposit account 22-0350. The instant application, filed November 20, 1998 (after the issuance of US 5716393, cited herein), claims priority as a continuation-in-part from an application filed August 3, 1995. On its face, US 5716393 is not prior art to the subject matter from the parent application. Nevertheless, out of an abundance of caution, Applicant requests that US 5716393 be treated as prior art as to all pending claims unless or until the Applicant confirms that he was unaware of the subject matter thereof prior to his invention date of the subject matter from the parent application.

### Signature

Examiner Name	Date
	11/27/06

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO.: S63.2B-6769-US	APPLICATION NO.: 09/197278
	APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz	
	FILING DATE: November 20, 1998	GROUP: 3731

JUL 06 2004 REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAMINER'S INITIALS / TRADE MARKS	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
US	AA 6,206,911	3/27/01	Milo	623/1.15	
	AB				
	AC				
	AD				
	AE				
	AF				
	AG				
	AH				
	AJ				
	AK				
	AL				

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY
BA			
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BE			

#### OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)

CA		
CB		
CC		

EXAMINER <i>JL</i>	DATE CONSIDERED <i>4/27/01</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO.: S63.2B-6769-US	APPLICATION NO.: 09/197278
			APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz	
			FILING DATE: November 30, 1998	GROUP: 3731
REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS		
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS
	AA			FILING DATE IF APPROPRIATE
	AB			
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FOREIGN PATENT DOCUMENTS				
	DOCUMENT NUMBER	DATE	COUNTRY	
	BA			
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	BE			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)				
<i>lk</i>	CA		Starck, E., "First Clinical Experience with the Memotherm Vascular Stent", <i>STENTS State of the Art Future Developments</i> , pages 59-62 (June 1995).	
<i>Up</i>	CB		Melzer, A. et al., "Performance Improvement of Surgical Instrumentation Through the Use of Ni-Ti Materials, <i>Proceedings of SMST-94 The First International Conference on Shape Memory and Superelastic Technologies</i> , pages 401-409 (March 7-10, 1994).	
	CC			
EXAMINER	<i>llie</i>	DATE CONSIDERED	<i>11/07/98</i>	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO.: S63.2B-6769-US		APPLICATION NO.: 09/197278	
			APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz			
			FILING DATE: November 20, 1998		GROUP: 3731	
REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
<i>AB</i>	AA	2002/0007212 A1	1/17/02	Brown et al.	623/1.16	
<i>AB</i>	AB	2002/0177893 A1	11/28/02	Brown et al.	623/1.16	
<i>AC</i>	AC	2001/0056298 A1	12/27/01	Brown et al.	623/1.16	
	AD					
	AE					
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	AG					
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	AI					
	AJ					
	AK					
	AL					
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY		
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)						
	CA					
	CB					
	CC					
EXAMINER		<i>Me</i>	DATE CONSIDERED		<i>1/10/02</i>	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

UNPUBLISHED US APPLICATIONS		ATTY DOCKET NO.: S63.2B-6769-US		APPLICATION NO. 09/197278
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz		
		FILING DATE: November 20, 1998		GROUP: 3731
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY				
EXAM'S INT.		APPLICATION NO.	FILING DATE	INVENTOR(S)
VS	DA	08/396569	3/1/95	Brown
VS	DB	09/599674	6/22/00	Brown et al.
VS	DC	09/666866	9/20/00	Brown et al.
	DD			
	DE			
EXAMINER <i>[Signature]</i>			DATE CONSIDERED <i>11/27/06</i>	
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

2 of 2

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY DOCKET NO.: S63.2N-6769-US06		APPLICATION NO.: 10/817508	
		APPLICANT: Brown et al.			
		FILING DATE: April 2, 2004		GROUP: 3738	
REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAMS. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
JB 03/2004 TRADEMARKS US	BA 6042597	03/28/00	Kveen et al	623/1.15	
	BB 6261319	07/17/01	Kveen et al	623/1.15	
	AC 6488703	12/03/02	Kveen et al	623/1.15	
	AD 6520987	02/18/03	Plante	623/1.16	
	AE 6540777	04/01/03	Stenzel	623/1.16	
	AF				
	AG				
	AH				
	AI				
	AJ				
	AK				
	AL				
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY		
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)					
	CA				
	CB				
	CC				
EXAMINER		DATE CONSIDERED 14/27/06			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY DOCKET NO.: S63.2-6769-US04		APPLICATION NO.: Not yet assigned	
		APPLICANT: Brown et al.			
		FILING DATE: Concurrently herewith		GROUP: Not yet assigned	
REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S O/N/P. JUL 06 2004 PATENTS / TRADEMARKS SEARCHED	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
VS AA	5,091,211	2/25/211	Richard	427/44	
VS AB	5,356,423	10/18/94	Tihon et al.	606/194	
VS AC	5,411,552	5/2/95	Andersen et al.	623/2	
VS AD	5,649,952	7/22/97	Lam	606/198	
AE	5,707,386	1/13/98	Schnepf-Pesch et al.	606/194	
AF	5,716,365	2/10/98	Goicoechea et al.	606/108	
AG	5,855,597	1/5/99	Jayaraman	623/1	
AH	5,855,600	1/5/99	Alt	623/1	
AI	5,868,780	2/9/99	Lashinski et al.	606/198	
AJ	5,876,432	3/2/99	Lau et al.	623/1	
AK	5,895,407	4/20/99	Jayaraman	606/198	
AL	5,913,895	6/22/99	Burpee et al.	623/1	
AM	5,925,061	7/20/99	Ogi et al.	606/198	
AN	6,053,941	4/25/00	Lindenb��rg et al.	623/1	
AO	6,056,775	5/2/00	Borgh et al.	623/1.16	
AP	6,183,506	2/6/01	Penn et al.	623/1.15	
AQ	6,340,366	1/22/02	Wijay	623/1.13	
AR	6,348,065	2/19/02	Brown et al.	623/1.16	
AS	6,355,057	3/12/02	Demarais et al.	623/1.15	
AT	6,395,020	5/28/02	Lay et al.	623/1.15	
AU	6,533,808	3/18/03	Thompson	623/1.15	
AV	6,540,775	4/1/03	Fischell et al.	623/1.15	
AW	6,613,081	9/2/03	Kim et al.	623/1.15	
AX					
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AZ					
EXAMINER <i>CJB</i>	DATE CONSIDERED		1/27/05		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY DOCKET NO.: S63.2-6769-US04	APPLICATION NO.: Not yet assigned
	APPLICANT: Brown et al.	
	FILING DATE: Concurrently herewith	GROUP: Not yet assigned

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY
VA	BA 99/44535	9/10/99	WO
VB	BB 0 796 597 A2	9/24/97	EP
VC	BC 97/45073	12/4/97	WO
	BD		
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EXAMINER *JL*

DATE CONSIDERED

4/07/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)	ATTY DOCKET NO.: S63.2-6769-US04		APPLICATION NO. Not yet assigned
	APPLICANT: Brown et al.		
	FILING DATE: Concurrently herewith	GROUP: Not yet assigned	

**UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY**

EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)
	DA			
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**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

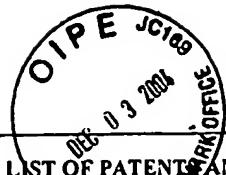
US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)	ATTY DOCKET NO.: S63.2-6769-US04		APPLICATION NO. Not yet assigned
	APPLICANT: Brown et al.		
	FILING DATE: Concurrently herewith	GROUP: Not yet assigned	

**US APPLICATIONS RELATED BY PRIORITY**

EXAM'S INIT.		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
EA	EA	09/197,278	11/20/98		Brown et al.	X
EB	EB	08/511,076	8/3/95		Brown et al.	X
EC	EC	08/396,569	3/1/95		Brown et al.	X
ED						
EE						
EF						

**EXAMINER** *[Signature]* **DATE CONSIDERED** *1/07/2006*

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY DOCKET NO.: S63.2N-6769-US06		APPLICATION NO.: 10/817508	
		APPLICANT: Brown et al.			
		FILING DATE: April 2, 2004		GROUP: 3738	
REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
	AA 6,106,548	8/22/00	Roubin et al.	623/1.15	
	AB 6,132,460	10/17/00	Thompson	623/1.15	
	AC 6,193,747	2/27/01	von Oepen	623/1.15	
	AD 6,358,274	3/19/02	Thompson	623/1.15	
VE	AE 6,471,720	10/29/02	Ehr et al.	623/1.15	
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FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY		
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)					
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EXAMINER	<i>[Signature]</i>		DATE CONSIDERED		<i>11/27/02</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)	ATTY DOCKET NO.: S63.2N-6769-US06		APPLICATION NO. 10/817508
	APPLICANT: Brown et al.		
	FILING DATE: April 2, 2004	GROUP: 3738	

**UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY**

EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)
U	DA	60/076,946	3/5/98	Tseng et al.
V	DB	09/623,347	11/15/00	Tseng et al.
V	DC	10/474,848	12/29/03	Tseng et al.
	DD			
	DE			

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)	ATTY DOCKET NO.: S63.2N-6769-US06		APPLICATION NO. 10/817508
	APPLICANT: Brown et al.		
	FILING DATE: April 2, 2004	GROUP: 3738	

**US APPLICATIONS RELATED BY PRIORITY**

EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA					
	EB					
	EC					
	ED					
	EE					
	EF					

EXAMINER *[Signature]* DATE CONSIDERED *4/27/06*

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.